

## Lung Volume Reduction Surgery

### Prior Authorization Request

Date \_\_\_\_\_

Member information		
Member name (print)	SMID	Date of birth (month/day/year)
Provider information		
Provider name (print)	Telephone number	Fax number
Place of service: <input type="checkbox"/> Ambulatory Surgery Center <input type="checkbox"/> Hospital outpatient <input type="checkbox"/> Hospital inpatient <input type="checkbox"/> Provider's office <input type="checkbox"/> Other _____		
Facility where services will be provided (include address if the provider provides services at more than one practice location)		
Contact person name (print)	Telephone number	Fax number
Procedure information		
Scheduled date of service (month/day/year)	Requested service/procedure	Procedure code(s)
Diagnosis	Diagnosis code(s)	

**Answer all of the following questions.**

Does the member have one of these medical conditions:

- Severe emphysema .....  Yes  No
- Disabling dyspnea .....  Yes  No
- Evidence of severe air trapping .....  Yes  No

Is the member 75 years old or less .....  Yes  No

For a member who has cardiac ejection fraction, is it less than 45% .....  Yes  No

If yes, what is the ejection fraction \_\_\_\_\_

There is no history of congestive heart failure .....  Yes  No

There is no history of myocardial infarction within 6 months of consideration for surgery .....  Yes  No

Does the member have a history and physical examination consistent with emphysema .....  Yes  No

Has the member not smoked for 4 or more months .....  Yes  No

Does the member have all of the following on preoperative work-up:

- CT scan evidence of bilateral emphysema .....  Yes  No
- Forced expiratory volume in 1 second (FEV1) (maximum of pre- and post-bronchodilator values) less than or equal to 45% of predicted and, if aged 70 years or older, FEV1 15% of predicted or more. ....  Yes  No

If yes, what is the FEV1 results \_\_\_\_\_

- Plasma cotinine less than or equal to 13.7 ng/ml (if not using nicotine products) or carboxyhemoglobin less than or equal to 2.5% (if using nicotine products) .....  Yes  No

If yes, what is the plasma cotinine results \_\_\_\_\_

What is the carboxyhemoglobin results \_\_\_\_\_

- Post-bronchodilator total lung capacity (TLC) greater than or equal to 100% of the predicted value and residual volume (RV) greater than or equal to 150% of predicted value .....  Yes  No  
If yes, what is the TLC results \_\_\_\_\_
- Resting partial pressure of carbon dioxide (PaCO2) less than or equal to 60 mm Hg on room air. ....  Yes  No  
If yes, what is the PaCO2 results \_\_\_\_\_
- Resting partial pressure of oxygen (PaO2) 45 mm Hg or greater .....  Yes  No  
If yes, what is the PaO2 results \_\_\_\_\_
- Six-minute walk test greater than 140 meters. ....  Yes  No  
If yes, what is the result of the six-minute walk \_\_\_\_\_

**By signing this form, the provider attests that the above information is accurate and documented in the medical record. Security Health Plan may, at its discretion, request medical records to make a final coverage determination.**

\_\_\_\_\_  
Provider signature

\_\_\_\_\_  
Date

**Pre-service decisions:** Initial review is received and a coverage determination is made within fourteen (14) calendar days of receipt of request. The member and/or provider are notified in writing of a denial decision within fourteen (14) calendar days of receipt of the request.

**Urgent pre-service decisions:** Initial review is received and a coverage determination is made within seventy-two (72) hours of receipt of request.

**Mail or fax form to:** Security Health Plan  
Health Services Department  
PO Box 8000  
Marshfield, WI 54449-8000  
Fax 715.221.6616

**Marshfield Clinic providers route to:**  
Health Services Department  
Routing location, SHP

**If you have any questions, please contact Customer Service at 1.800.548.1224.**